



TENNIS INTEGRITY UNIT

Annual Review • 2017



INTRODUCTION

Fighting the scourge of betting-related corruption remains the single most important priority for the integrity of our sport. The Tennis Integrity Board met on four occasions during the year to oversee the strategic direction of the Tennis Integrity Unit (TIU), continue to increase resources and integrity measures and monitor the progress of the Independent Review Panel (IRP).

In dealing with corruption, we are acutely aware of the responsibility owed to the future of our sport, especially the young athletes coming into tennis. They must be equipped with information and advice that allows them to make the right decisions if faced with corrupt approaches. The Board was unanimous in supporting the recruitment of additional members of staff to create a dedicated TIU Education and Training division. Their work will be vital in the months and years ahead. To enhance the investigative capacity of the TIU, the Board also approved the recruitment of more investigative and intelligence experts.

For tennis to be successful in meeting current integrity challenges, the work of the IRP will be absolutely crucial. Adam Lewis and his colleagues have been asked to review all aspects of the sport's response to corruption, both historic and present day. Their Interim Report, now expected in early 2018, will deliver conclusions and recommendations to guide the way in which we tackle corruption more effectively in the future. In parallel with the IRP process, we have developed proposals for an expanded and independent Tennis Integrity Organisation. This model has been submitted to the IRP for consideration. While it is greatly disappointing that corruption exists in tennis, the Board, on behalf of tennis, remain completely committed to doing everything possible to minimise its presence and uphold the sport's hard-won reputation for fairness and integrity.

DAVID HAGGERTY

Tennis Integrity Board Chairman 2017

“Fighting the scourge of betting-related corruption remains the single most important priority for the integrity of our sport.”

The majority of the TIU's operational work once again centred on the men's Futures and Challenger circuits, which remain vulnerable to criminal activity from gamblers seeking illegal financial gain. There is no doubt that most players and officials conduct themselves in an exemplary fashion, but the small minority who choose to break the rules are a continuing concern. The year was notable for the decision to establish a dedicated TIU Education and Training division. By January 2018 we will have a team of three highly qualified individuals working hard to develop, expand and deliver an ambitious new strategy (see pages 4 and 5 for more details). New staff will also support our investigations and intelligence functions.

During 2017 the TIU prosecuted 14 corruption cases and was involved in two CAS appeals. Three players were handed lifetime bans. While our prime focus continues to be the enforcement of tennis discipline, we are increasingly involved with wider police investigations around the world. This recognises that law enforcement agencies in many countries are taking a greater interest in sporting corruption, which is a development we welcome.

We also continue to work extensively and co-operatively with partners in the betting industry, none more so than colleagues at the European Sports Security Association (see page 9).

The increase in educational activity and anticipated high demand for investigative services are reflected in an increased budget for 2018 of US\$3.71 million (US\$3.23 million in 2017).

NIGEL WILLERTON

Director, Tennis Integrity Unit

THE TIU IN 2017

JANUARY

Four Australian and two Romanian players were disciplined for breaches of the Tennis Anti-Corruption Program; Nick Lindahl, Brandon Walkin, Isaac Frost and Calum Puttergill committed corruption offences related to an ITF Futures tournament in Toowoomba, Queensland in September 2013. Romanian Alexandru-Daniel Carpen was banned for life after admitting to a match-fixing charge; Mihaita Damian was suspended and fined for betting on tennis.

FEBRUARY

Australia's Oliver Anderson was provisionally suspended from playing professional tennis by independent anti-corruption Hearing Officer Prof Richard H McLaren, pending the conclusion of a TIU investigation.

MARCH

The Tennis Integrity Board held its first meeting of the year in Indian Wells, California.

A new online Tennis Integrity Protection Program went live. All players have to complete it every two years.

APRIL

The TIU's first quarter Briefing Note confirmed that 30 match alerts were received from January to March (48 in 2016).

The TIU was invited to discuss its work in tennis with delegates at INTERPOL's Match-Fixing Task Force Conference in Bangkok, Thailand.

Mexican player Daniel Garza's appeal to CAS was upheld. He had previously been suspended and fined for an alleged breach of the Tennis Anti-Corruption Program.

MAY

Two players, Konstantinos Mikos (Greece) and Junn Mitsuhashi (Japan) received life bans for match-fixing offences. A third, Nikita Kryvonos (USA), was suspended for 10 years.

The Tennis Integrity Board held its second meeting of the year at Roland Garros, Paris.

JUNE

Matthew Perry was recruited as the TIU's first Education and Training Manager. Anjni Patel joined the unit's Intelligence and Information division.

JULY

Isaac Frost (Australia) was provisionally suspended from playing professional tennis by independent anti-corruption Hearing Officer Prof Richard H McLaren, pending the conclusion of a TIU investigation.

The TIU's second quarter Briefing Note confirmed that 53 match alerts were received from April to June (73 in 2016).

AUGUST

Romanian player Marius Frosa was suspended for eight months and fined US\$1,000 after being found guilty of betting on tennis.

SEPTEMBER

The Tennis Integrity Board held its third meeting of 2017 at the US Open in New York. Approval was secured to recruit two new staff for the TIU Education and Training division. It was also agreed to publish disciplinary hearing decisions in full for the first time.

OCTOBER

Spanish player Samuel Ribeiro Navarrete was suspended for eight months and fined US\$1,000 for betting on tennis.

The TIU's third quarter Briefing Note confirmed that 65 match alerts were received from July to September (96 in 2016).

NOVEMBER

The Tennis Integrity Board held its fourth meeting of the year in London. It approved the recruitment of two additional investigators and an intelligence analyst to support the TIU's increased workload.

Abbey-Jayne Tugwood joined as Project Manager in the Education and Training division.

The TIU was invited to present a paper at a Europol conference in The Hague, attended by sports corruption experts from 18 member states. Later in the month the TIU's Intelligence team took part in a working group with gambling operators at an ESSA conference in London.

DECEMBER

Piotr Gadomski (Poland) received an 18 month suspension for breaching the terms of an existing seven year ban. The sanction was suspended providing he commits no further offences.

CAS rejected an appeal to impose a lifetime ban on Nick Lindahl. His original 7 year ban and fine was upheld.

EDUCATION AND TRAINING

THE TEAM

In June 2017 Matthew Perry joined the TIU in the new position of Education and Training Manager, bringing with him experience gained from a background in teaching and elite sports anti-doping education. Recruiting a dedicated specialist reflected the vital role education has to play in preparing people in tennis to deal appropriately with the threat of corruption. In September, the Tennis Integrity Board approved two further positions to assist with implementation of an ambitious Strategic Plan that will deliver an expanded program of education and training activities. Abbey-Jayne Tugwood was appointed as Education Project Manager in November, joining from a position with the ITF Anti-Doping Program. Well known in the sport, she is a fluent Spanish and German speaker who is also an LTA Development Coach. The full team will be completed in January 2018 when Richard Sackey-Addo relocates from a role at the ITF's Coach Education Department in Valencia, Spain. He holds an MSc in Sports Performance and, like Abbey, is an LTA certified tennis coach and Spanish speaker.

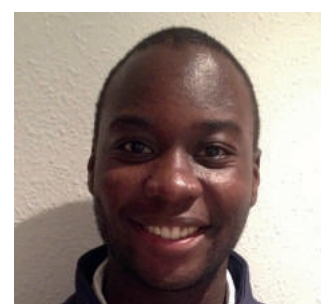


MATTHEW PERRY
Education and Training Manager

Delivering a keynote presentation at the ITF Worldwide Coaching Conference in Sofia, October 2017.



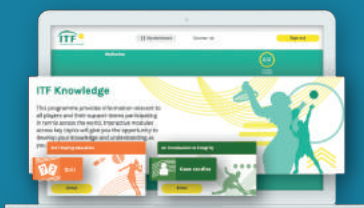
ABBEY-JAYNE TUGWOOD
Education Project Manager



RICHARD SACKEY-ADDO
Education Co-ordinator

EDUCATION ACTIVITY

- In August 2017 the ITF Knowledge online programme was launched. It includes an integrity module developed by the TIU. This provides an introduction to the TIU and aims to inform and educate players about integrity in tennis and to protect them on their journey as a tennis player
- Wimbledon - London, UK - delivered education to agents, officials, players and juniors
- US Open - New York, USA - delivered education to officials, players, juniors and collegiate players
- Worldwide Coaching Conference - Sofia, Bulgaria - delivered keynote presentation to 400 international coaches and a workshop as part of the conference
- ITF Level 3 Officiating School - Sao Paulo, Brazil - delivered education to referees, supervisors and chair umpires
- ATP University - London, UK - delivered to 25 young players breaking into top 200 on the ATP Tour
- Norwegian Gaming and Foundation Authority annual stakeholder meeting - Oslo, Norway - led an education strategy development workshop for representatives from Norwegian national associations (including tennis, football, national Olympic association, national coaching association)
- 2017 Tennis Europe Junior Tour and Pro Circuit Conference - La Manga, Spain - keynote presentation to 80 delegates from European associations and tournament directors
- IOC Meeting - Lausanne, Switzerland - meeting to share good practice and establish opportunities to develop working relationships



TENNIS INTEGRITY PROTECTION PROGRAM (TIPP)

In 2011, tennis became the first sport to introduce online anti-corruption education and training. The Tennis Integrity Protection Program (TIPP) has since been completed by over 30,000 players, officials and tournament staff. In March 2017 a new and updated TIPP was introduced which all players are now required to complete on a biennial basis. In line with this, future versions of TIPP will also be updated on a two-year cycle to include bespoke modules for different audiences.



TIU 2018 STRATEGIC EDUCATION PLAN

The Mission underpinning the TIU's first Strategic Plan for education and training is to deliver a world-class program that informs, educates and protects players, coaches, officials, agents and key stakeholders. The Aims, Objectives and Principles of the strategy are to:

AIMS

- Broaden the scope of the program, delivering a wider range and depth of impactful tools, resources and sessions
- Expand the reach of the program, targeting the wider tennis family and reaching more players, coaches, officials, agents and key stakeholders year-on-year

2018 OBJECTIVES

- Deliver sustainable interventions for each key target group of players, coaches, agents and officials
- Increase face-to-face delivery to each key target group
- Expand the reach of education and access to information further down the player pathways
- Develop TIU resources, increasing the quantity and quality of provision
- Establish the Education and Training Team as a high-performing function of the TIU

PRINCIPLES

- Deliver evidence-based interventions using the ICE prevention strategy (Information, Communication and Education) to effect desired behaviour change and robust decision making
- Manage and maintain accurate tracking and record keeping of all education and training delivery
- Commit to an ongoing monitoring and evaluation programme to report on the effectiveness of interventions, programmes and resources
- Engage with researchers and deliver tennis-specific projects that will inform and evaluate policy and practice

Among the key priorities for delivery by the Education team in 2018 are:

PLAYERS

- Develop and launch TIPP 2019 with refreshed content and new player module
- More face-to-face delivery and tournament presence targeting lower ranked players

COACHES

- Co-ordinate development and management of a comprehensive international register of coaches
- Develop new content for existing coaching qualifications, courses and relevant publications

OFFICIALS

- Completion of TIPP becomes mandatory (as with all players). Develop a bespoke module for officials as part of TIPP 2019
- Develop integrated content for L1, L2, L3 Officiating Schools and establish ongoing TIU presence at biannual L3 Officiating Schools

NATIONAL ASSOCIATIONS

- Increase communication and provide dedicated point of contact for relationship management
- Conduct a review of national associations' provision to include their current integrity related resources, education delivery and requirements for future support

PLAYER DISCIPLINARY DECISIONS AND APPEALS 2017

<p>NICK LINDAHL 28, Australian Career-high 187 singles 9 January 2017 - 7 year suspension: US\$35,000 fine</p> <hr/> <p>Match-fixing and failing to co-operate with a TIU investigation. Proposed to lose a match in return for payment at the Australian F6 Tournament in Toowoomba, Queensland, in September 2013.</p>	<p>BRANDON WALKIN 22, Australian Career-high 980 singles 9 January 2017 - 6 months suspension, suspended 6 months</p> <hr/> <p>Passed a corrupt proposal to another party on behalf of Nick Lindahl at the Australian F6 Tournament in Toowoomba, Queensland, in September 2013.</p>	<p>ISAAC FROST 28, Australian Career-high 458 singles 9 January 2017 - Mr Frost had served a provisional suspension between October 2013 and September 2014. In his decision of 9 January 2017 the Hearing Officer ruled that no further sanction should be imposed</p> <hr/> <p>Failing to co-operate with a TIU investigation.</p>	<p>ALEXANDRU-DANIEL CARPEN 30, Romanian Career-high 1088 singles 10 January 2017 - lifetime ban</p> <hr/> <p>Match-fixing: made a corrupt approach to Greek player Alexandros Jakupovic.</p>
<p>CALUM PUTTERGILL 23, Australian Career-high 1148 singles 13 January 2017 - 6 months suspension: US\$10,000 fine</p> <hr/> <p>Betting on tennis.</p>	<p>MIHAITA DAMIAN 23, Romanian Career-high 1493 doubles 13 January 2017 - 12 months suspension, €5,000 fine</p> <hr/> <p>Betting on tennis.</p>	<p>OLIVER ANDERSON 18, Australian Career-high 639 singles 9 February 2017 - provisionally suspended pending completion of a TIU investigation</p>	<p>DANIEL GARZA 7 April 2017: the Court of Arbitration for Sport (CAS) upheld the Mexican player's appeal against a suspension and fine imposed in October 2016. He had been found guilty by an independent tennis disciplinary Hearing following a TIU investigation.</p>
<p>KONSTANTINOS MIKOS 25, Greek Career-high 933 singles 4 May 2017 - lifetime ban</p> <hr/> <p>Match-fixing: made a corrupt approach to Greek player Alexandros Jakupovic at the Greece F20 Futures tournament, Rethymon in 2013. In addition he was found to have bet on tennis.</p>	<p>JUNN MITSUHASHI 27, Japanese Career-high 295 singles 16 May 2017 - lifetime ban for match fixing: US\$50,000 fine</p> <hr/> <p>Match-fixing: made corrupt approaches to other players, bet on tennis matches and failed to co-operate with a TIU investigation.</p>	<p>NIKITA KRYVONOS 30, American Career-high 389 singles 18 May 2017 - 10 year ban: US\$20,000 fine</p> <hr/> <p>Match-fixing: colluded with third parties to contrive the outcome of a match at the ATP Challenger event in Champaign, Illinois, USA in November 2015.</p>	<p>ISAAC FROST 28, Australian Career-high 458 singles 2 July 2017 - provisional suspension pending completion of a TIU investigation</p>
<p>MARIUS FROSA 21, Romanian Career-high 1,920 singles 27 August 2017 - suspended for eight months (four months suspended) and fined US\$1,000</p> <hr/> <p>Betting on tennis.</p>	<p>SAMUEL RIBEIRO NAVARETTE 24, Spanish Career-high singles 723 3 October 2017 - suspended for eight months (four months suspended) and fined US\$1,000</p> <hr/> <p>Betting on tennis.</p>	<p>PIOTR GADOMSKI 26, Polish Career-high 338 singles 19 December 2017 - 18 months suspension added to existing seven year ban (suspended pending no further breaches)</p> <hr/> <p>Breach of original suspension by attending a professional tennis event.</p>	<p>NICK LINDAHL 20 December 2017: the Court of Arbitration for Sport rejected an appeal by the Professional Tennis Integrity Officers of the governing bodies of tennis to impose a lifetime ban on Nick Lindahl. The original 7 year ban and fine imposed on the player in January 2017 was upheld.</p>

2018 TENNIS ANTI-CORRUPTION PROGRAM

The Tennis Anti-Corruption Program (Program) is the code of conduct for betting-related corruption that applies to all professional tennis. Each year it is reviewed to incorporate additions, amendments and clarifications. All changes from the 2017 review are effective from the beginning of January 2018.

The TIU works closely with the ATP, WTA, ITF and Grand Slam Board in reviewing the Program to ensure it accurately reflects both the latest legal opinion and playing circumstances across the sport.

For 2018, there is clarification concerning the admission of additional information and evidence once disciplinary proceedings have begun, and the consolidation of separate but related prosecutions into a single case. All professional players and other 'covered persons' agree to adhere to the Program as part of tournament entry conditions.

The 2018 version is available on the TIU smartphone App and the Player Resources section of:

www.tennisintegrityunit.com

“The TIU works closely with the ATP, WTA, ITF and Grand Slam Board in reviewing the Program.”

INDEPENDENT REVIEW PANEL

The Independent Review Panel (IRP), set up by the governing bodies of tennis in February 2016 continued its extensive evidence gathering exercise during 2017.

This included:

- Interviewing more than 100 individuals from across the sport, including current and former representatives from the governing bodies of tennis, players at different levels of the game, tournament organisers, officials and individuals from the TIU
- Interviewing over 50 entities from outside the sport, including betting operators, data supply companies, gambling regulators, law enforcement agencies and academics
- Reviewing documentary evidence provided by the governing bodies and the TIU
- Obtaining input from various subject-matter experts
- Conducting an analysis of data relating to the TIU's caseload since 2009
- Conducting a survey of players

The Interim Report is expected to be published in early 2018. This will be followed by a consultation process that will allow a wide range of stakeholders to submit comments for consideration. The IRP will then publish a Final Report containing full recommendations. For more information visit:

www.tennisirp.com

2017 MATCH ALERTS DOWN FROM 2016

Tennis is now one of the most popular sports to bet on. For millions of people around the world this is a legal leisure pastime, but for a minority it is a criminal activity that taints the sport. In combatting corruption the TIU has forged close and constructive working relationships with many of the most prominent global gambling regulators and licensed betting operators. This allows operators to report, in confidence, any concerns from unusual or suspicious betting on more than 100,000 professional matches that are played each year. All match alerts are acknowledged, recorded and assessed by the TIU's Intelligence division and followed up by investigators where cases suggest the possibility of corrupt activity.

In 2015, 246 match alerts were received. This figure rose to a high point of 292 in 2016. In 2017, there was a substantial overall reduction in alerts, with a total of 241.

In line with a consistent trend, the majority of alerts featured on men's tennis played on the ITF Futures and ATP Challenger circuits.

The reduction in alerts is a welcome development that reflects the work put in jointly by the TIU and operators, to fully assess and interpret betting data and related information. Betting alerts on their own are not proof of corruption, but are regarded as an indicator that corrupt activity may have occurred.

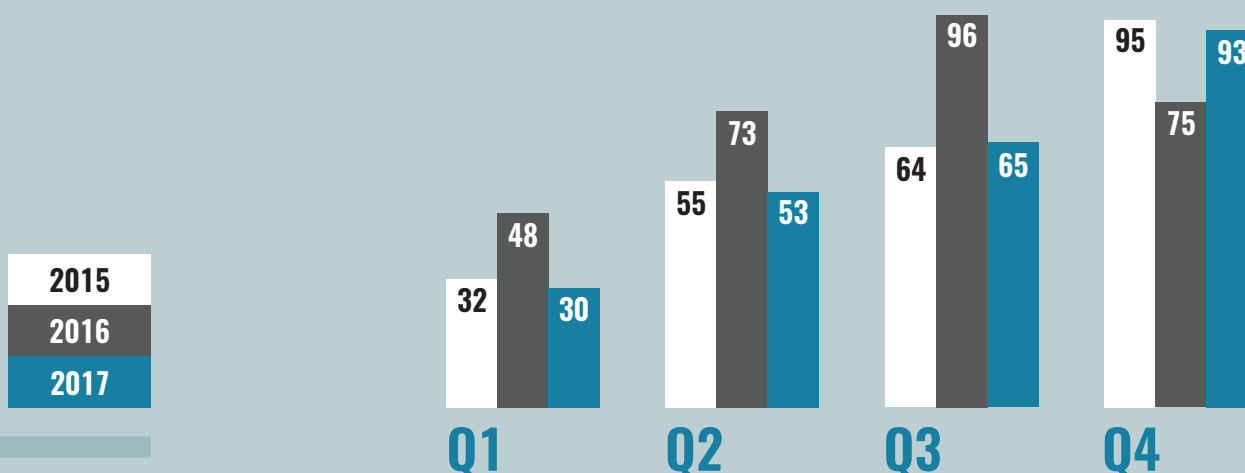
Looking ahead, the TIU is working to prepare for the introduction of the General Data Protection Regulation that comes into effect in Europe in May 2018. This will have a substantial impact on data protection policies and the sharing of information, which must be compliant with the new legislation.

TIU MATCH ALERT DATA 2017

	TOTAL	Grand Slam	ATP Tour	WTA Tour	ATP Men's Challenger	ITF Men's Futures	ITF Women's	Hopman Cup Davis Cup Fed Cup
Match Alerts Q1	30	3	0	0	5	17	5	0
Match Alerts Q2	53	4	3	1	20	20	5	0
Match Alerts Q3	65	0	4	1	26	24	10	0
Match Alerts Q4	93	0	2	1	20	61	9	0
TOTAL	241	7	9	3	71	122	29	0

Match alerts reported to the TIU by betting operators and regulators in 2017, identified by tournaments and tours.

MATCH ALERTS 2015 - 2017



The total of 241 match alerts in 2017 marked a fall from the high point of 292 in 2016. In 2015 246 alerts were reported.



KEEPING INSIDE THE LINES

Working together to defeat a common threat

It has been a challenging couple of years since the spotlight of betting-related corruption fell on tennis, as it had previously on other sports such as cricket and football. Whilst this issue only affects a small number of matches, its disproportionate adverse impact has not only been felt by the sport but also by ESSA, which represents many of the largest regulated private betting companies on integrity matters. It is a clear example of what harms sport, harms betting operators and a reminder that we are, and should be, partners seeking to address a common threat.

Whilst the issue has effectively thrown our two sectors together - which has created its own challenges and taken some time adjusting to - our current position is one which in many respects reflects a best practice model for cross-sector co-operation built on mutual trust and understanding. A great deal of credit should go to the sport for committing the required level of investment and also to the Tennis Integrity Unit (TIU), which has developed a constructive working relationship with ESSA and our members, and which has been central to advancing our shared goal of eradicating fixing.

Tennis has and is taking positive steps. Indeed, both the TIU and ESSA increased their investment in resources to address this important matter during the year. The hard work that has been put in by both sides is bearing fruit and the TIU's statistics show a clear and very welcome downward trend in overall betting related alerts. It demonstrates the positive impact from detecting, disrupting and sanctioning such corruption. The issue nevertheless continues to affect the sport and associated betting markets and we will all have to continue to up our game to meet this ongoing challenge.

To that end, the TIU engaged in an ESSA members' working group in late November specifically to discuss the identification

and reporting of suspicious betting patterns in tennis. That interaction has further heightened the understanding between our two sectors on how best to address the threat facing us. With a well-established and positive working relationship with the TIU in place, ESSA's expanding membership of responsible regulated operators and its robust reporting practices will also undoubtedly continue to benefit both parties. However, more clearly needs to be done.

As I write, we await the Independent Review Panel's interim report and recommendations, part of a process which began in February 2016, to consider the nature and scope of betting-related corruption in tennis and how the sport should best tackle this issue. That report will hopefully set a clear direction for future action, addressing such corruption through practical and evidence-based solutions. In doing so, I very much hope that the report will acknowledge the positive measures that have already been implemented and which provide a springboard to continuing success in this area.

That achievement has been based on the professionalism of the TIU and an ongoing engagement and constructive working relationship with key stakeholders such as ESSA. Such processes invariably take time and understanding to perfect; there is no silver bullet here, only hard work, understanding and co-operation. If there is one key recommendation that ESSA would therefore like to see flow from the report, it is that the tennis authorities will continue to invest in the long-term future of the TIU and ensure that the mutual desire and momentum we have created to address this issue is maintained.

KHALID ALI

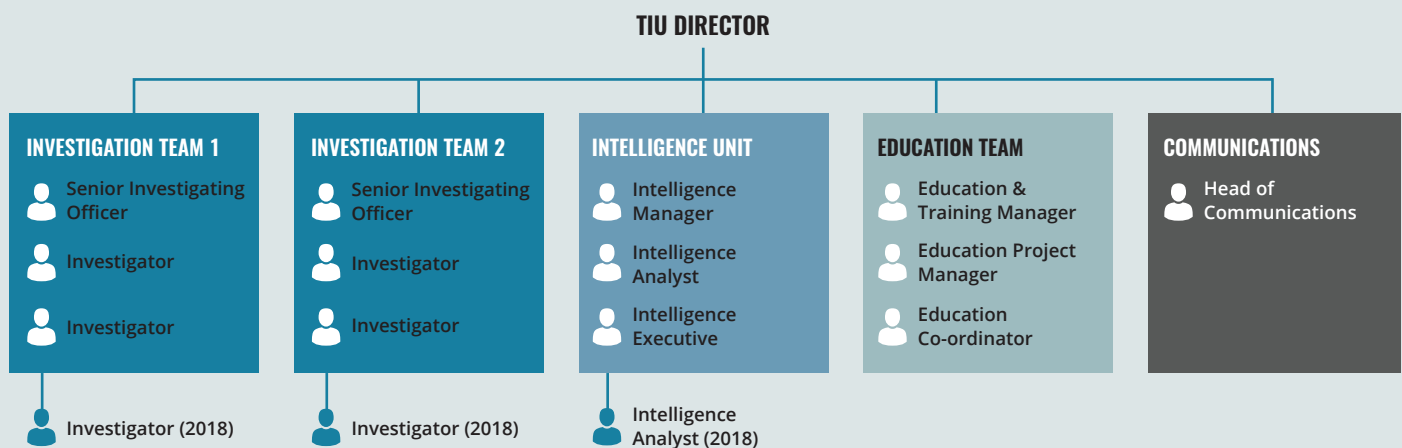
Director General, European Sports Security Association

STRUCTURE AND DEVELOPMENT OF THE TENNIS INTEGRITY UNIT

During 2017 the TIU continued to evolve and develop in line with both strategic and operational priorities. Three members of staff were recruited to form the new Education and Training team (see pages 4 and 5); two additional investigators and an intelligence analyst were approved and will join in early 2018. The recruitment of more specialist investigative staff is a reflection of an increased operational workload, while the new

Intelligence Analyst will add capacity and expertise to this increasingly important function.

With all recruits in place, the unit will have a staff of 17, making it one of the best-resourced integrity operations in professional sport. This organic growth means that TIU staffing has more than doubled over the past two years.

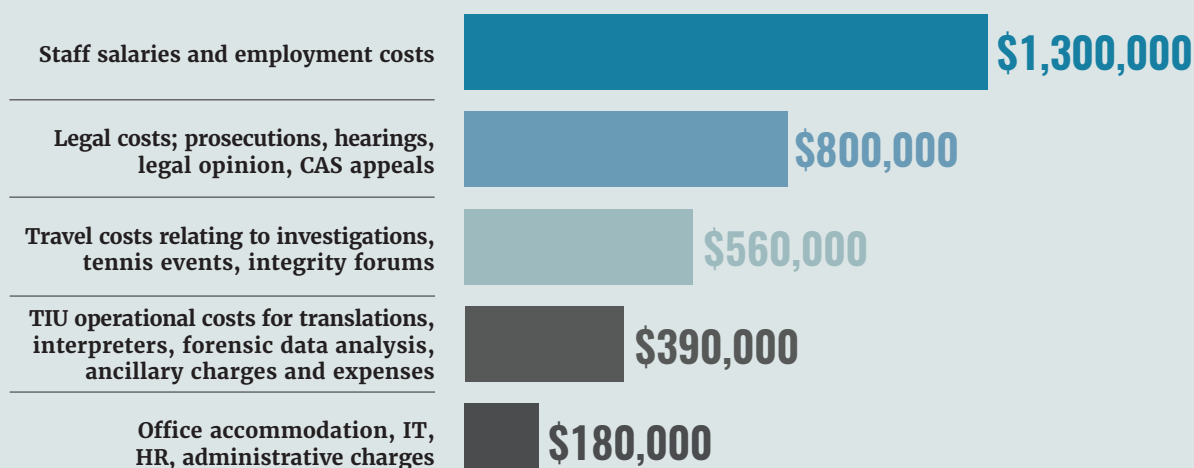


TENNIS INTEGRITY UNIT FINANCIAL REPORT 2017

The TIU is entirely funded by the governing bodies of professional tennis. The ATP and WTA each contribute 25% of the total budget, with the ITF and four Grand Slams each responsible for a 10% share. For 2017, the annual budget was set at US\$3.23 million (US\$2.4 million in 2016). The TIU budget for 2018 is US\$3.71 million.



THE MAJOR AREAS OF TIU EXPENDITURE DURING 2017



FOCUS ON INTELLIGENCE TO GUIDE FUTURE METHODOLOGIES IN FIGHT AGAINST CORRUPTION

Since it was set up in September 2008, the TIU has grown organically from a three-person unit into one that, by early 2018, will employ 17 members of staff. Its core business of dealing with betting-related corruption in international professional tennis remains unchanged, but the means and methods by which its responsibilities are executed continue to evolve over time.

One such change to TIU methodology is the move to greater access and use of Intelligence. In early 2016 a law enforcement standard, online case management system was adopted. This facilitated a unified and consistent approach to the handling of records and reports and allowed staff access to all uploaded material from any internet-enabled point around the world. This move was followed by the recruitment of an Intelligence Analyst, signifying a more formal approach to the use of intelligence-led prevention, education and enforcement. The Intelligence team will be further strengthened with the recruitment of a second Intelligence Analyst in early 2018, providing the unit with additional capacity and expertise.

Intelligence forms the basis of all TIU work. From betting operator match alerts to reports of integrity concerns from the global tennis family, each source of information becomes intelligence as it enters the TIU system.

Originally, intelligence analysis was predominantly focused on operational tasks, supporting the work of investigators. But increasingly it has become a strategic resource, utilising the huge archive of structured information and knowledge held by the TIU to better effect.

A major Strategic Assessment project, begun in 2017, will continue to assist the TIU to remain compliant with the processes and products of the National Intelligence Model. This is a well-established and recognised model within policing that is used for setting strategic direction and making prioritised resourcing decisions.



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